

Super Typhoon Haiyan on 7 Nov. 2013 images from the Korean COMS-1 satellite.  
(Source: CIMSS Satellite Blog)

# Development of Objective Forecast Guidance on Rapid Intensification of Tropical Cyclones over the Western North Pacific

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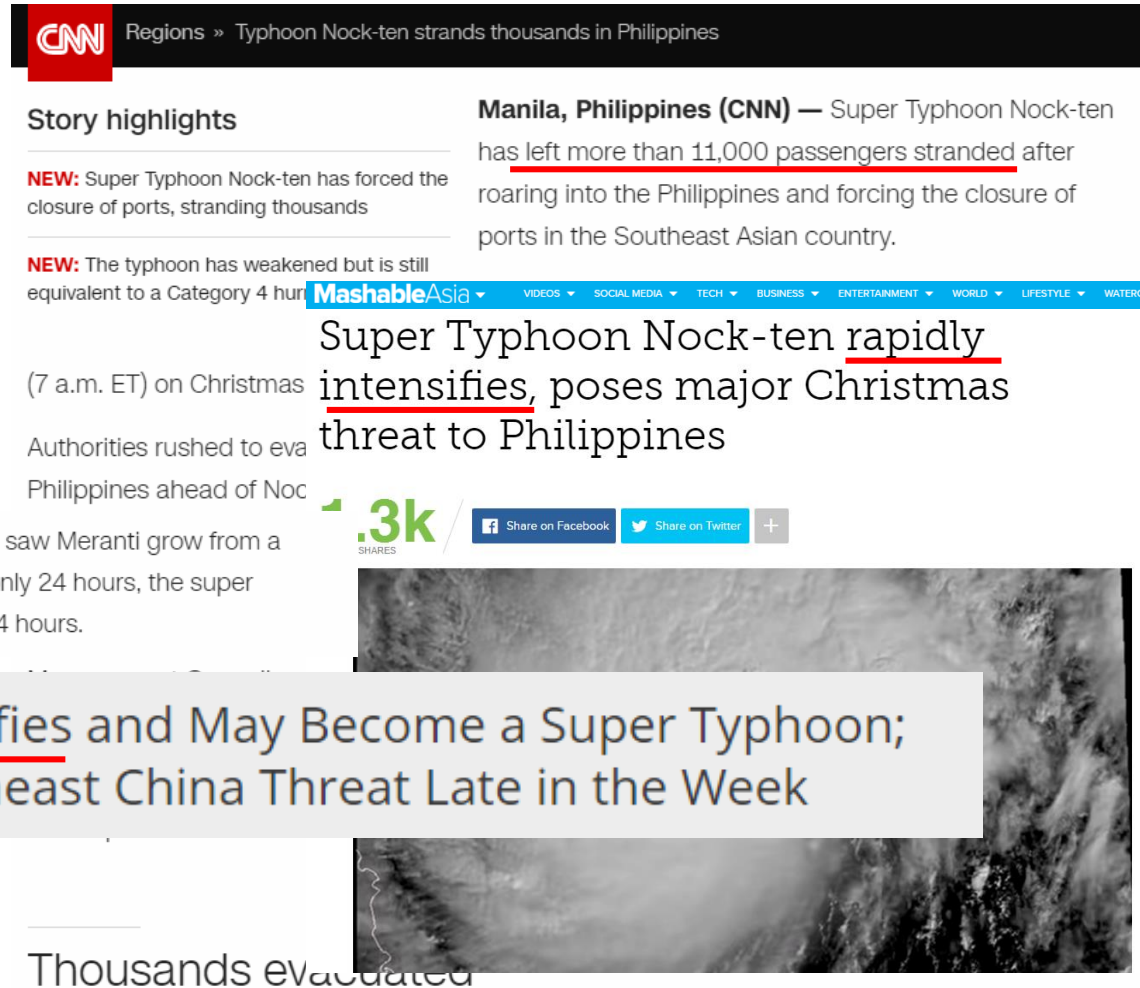
香港天文台  
HONG KONG OBSERVATORY

# TC Rapid Intensification poses great threat to coastal livelihood and properties

Some examples in 2016:  
Meranti, Haima, Nock-ten....

After a period of rapid intensification Monday and Tuesday, which saw Meranti grow from a Category 1 equivalent storm to that of a top-scale Category 5 in only 24 hours, the super typhoon has maintained winds of 190 mph (305 kph) for nearly 24 hours.

Typhoon Haima Rapidly Intensifies and May Become a Super Typhoon;  
Northern Philippines and Southeast China Threat Late in the Week

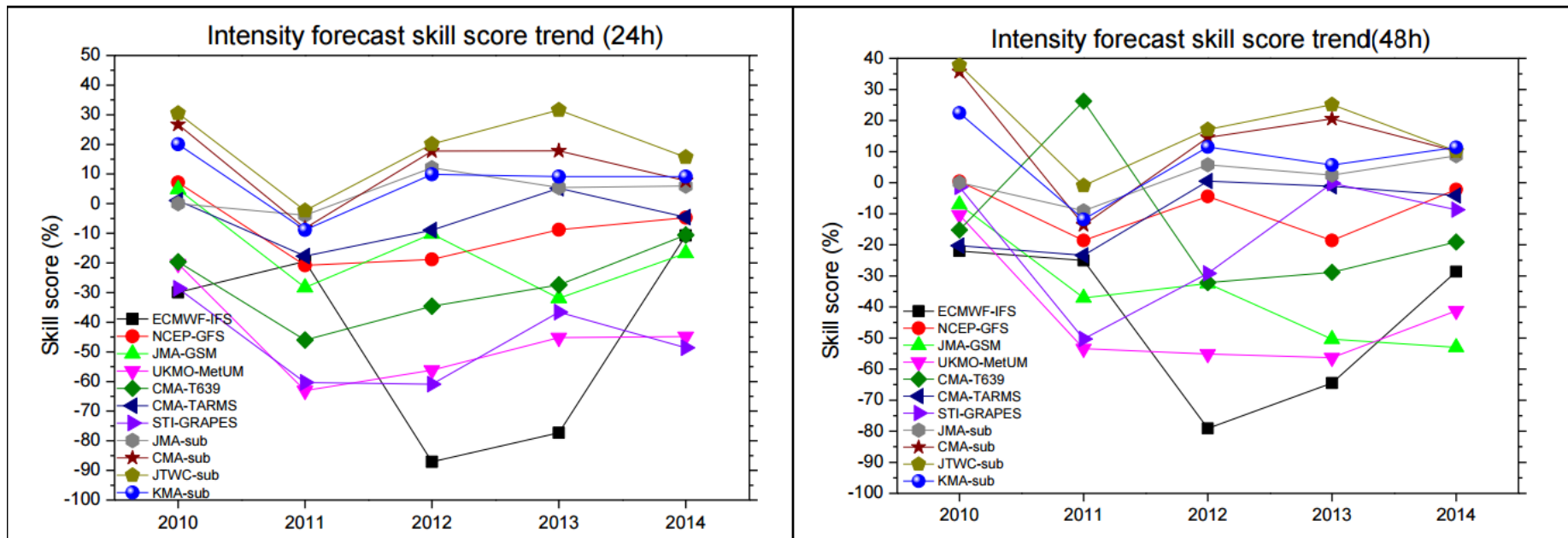


The screenshot shows a news article from CNN with the following content:

- Header: CNN Regions » Typhoon Nock-ten strands thousands in Philippines
- Section: Story highlights
- Text 1: **NEW:** Super Typhoon Nock-ten has forced the closure of ports, stranding thousands
- Text 2: **NEW:** The typhoon has weakened but is still equivalent to a Category 4 hurricane
- Section: Super Typhoon Nock-ten rapidly intensifies, poses major Christmas threat to Philippines
- Text: (7 a.m. ET) on Christmas Authorities rushed to evacuate Philippines ahead of Nock-ten
- Share button: .3k SHARES
- Image: Satellite view of a typhoon
- Section: Thousands evacuated

The National Disaster Risk Reduction and Management Council (NDRRMC) said that as of 8 a.m. local time on Monday, 77,560 families -- 383,097 people -- were in evacuation centers in Calabarzon, Mimaropa, Bicol and Easter Visayas.

# Intensity forecasting skill in WNP only showed limited improvement in recent years

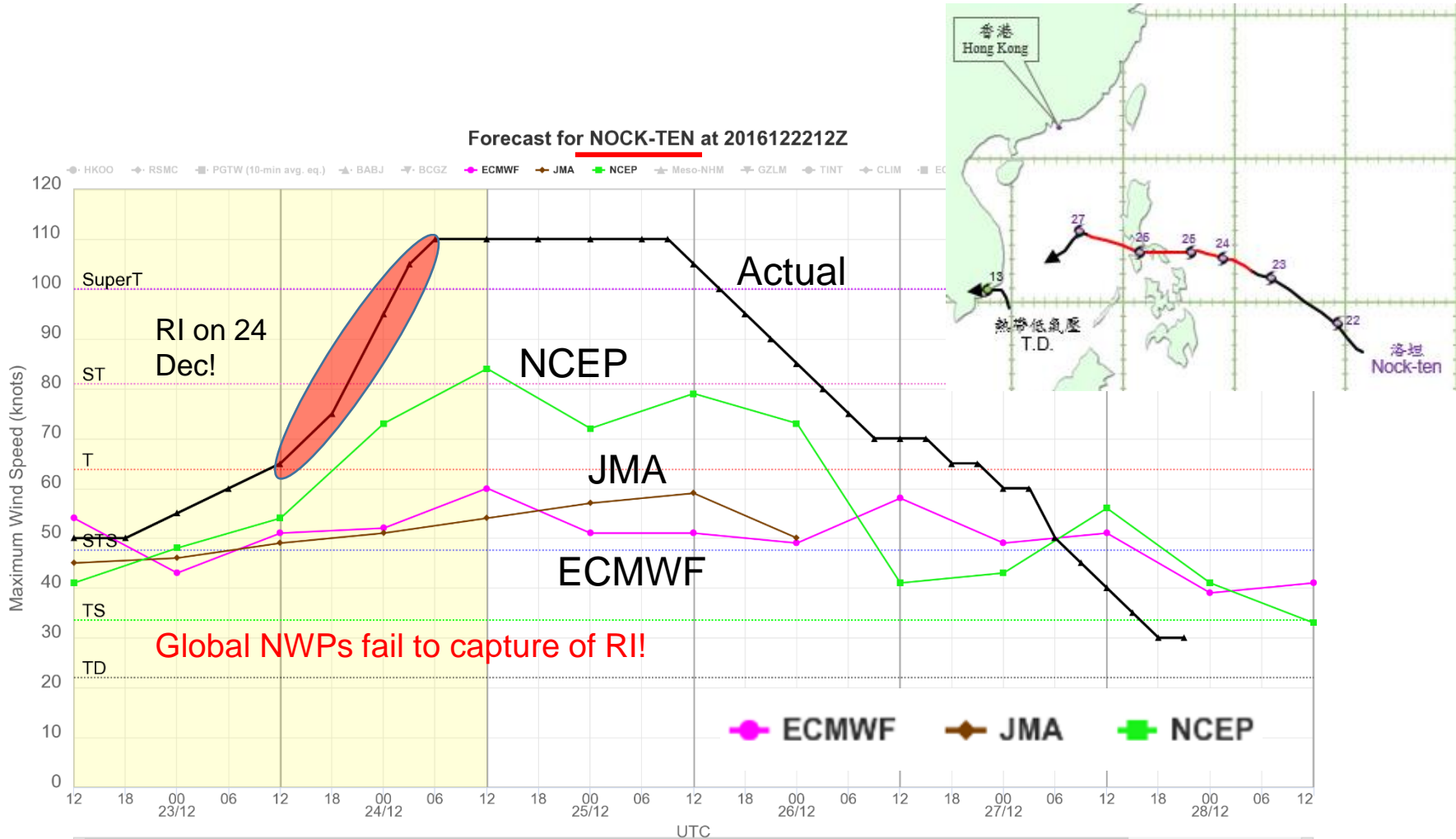


TC Intensity forecast skill score trend (referring to RSMC-Tokyo best track dataset) at 24h (left) and 48h (right) for subjective methods, global models and regional models

(Reference : Progress of WMO Typhoon Landfall Forecast Demonstration Project (WMO-TLFDP), <http://www.typhooncommittee.org/3rdJS/Docs/6.%20Cross-cutting/6.3%20Progress%20of%20WMO-TLFDP.pdf>)



# Rapid Intensification (RI) is a major challenge for global NWP models and operational TC forecasting



# Objective

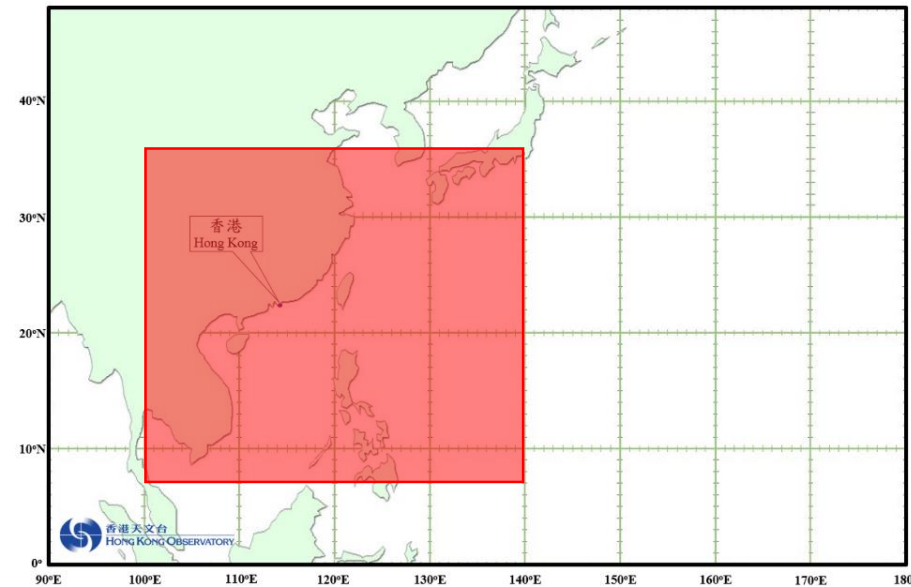
To develop a new statistical-dynamical forecasting tool (module) which works together with HKO's current TC intensity forecast model (TINT) to assess the probability of RI of tropical cyclones over the TC forecast area of HKO in the western North Pacific, up to 48 hours. This new module is named as **TINT-RI**.

## HKO Forecast Area

- 7 – 36° N, 100 – 140° E

## Data Set

- HKO Best track data (2009 – 2015)
- Atmospheric predictors from ECMWF ERA-Interim reanalysis data, with a spatial resolution of about 80 km
- Tropical Cyclone Heat Potential (TCHP) gridded 0.25° from NOAA AOML Physical Oceanography Division



# TINT – Tropical cyclone INTensity Guidance

- TINT is a statistical-dynamical TC intensity forecast model developed by the Hong Kong Observatory in 2014, which provides TC intensity forecast over the operational forecast area of HKO up to 72 hours
- TINT applies multiple linear regression to a list of predictors (e.g. TCHP, 850 hPa vorticity...etc) to provide intensity forecast

(Reference : C. Y. Y. Leung et al, Development of statistical Tropical Cyclone intensity forecast model, 29<sup>th</sup> Guangdong-Hong Kong-Macao Seminar on Meteorological Science and Technology, Macao, 20-22 Jan 2015 (<http://www.hko.gov.hk/publica/reprint/r1171.pdf>, Chinese version only))

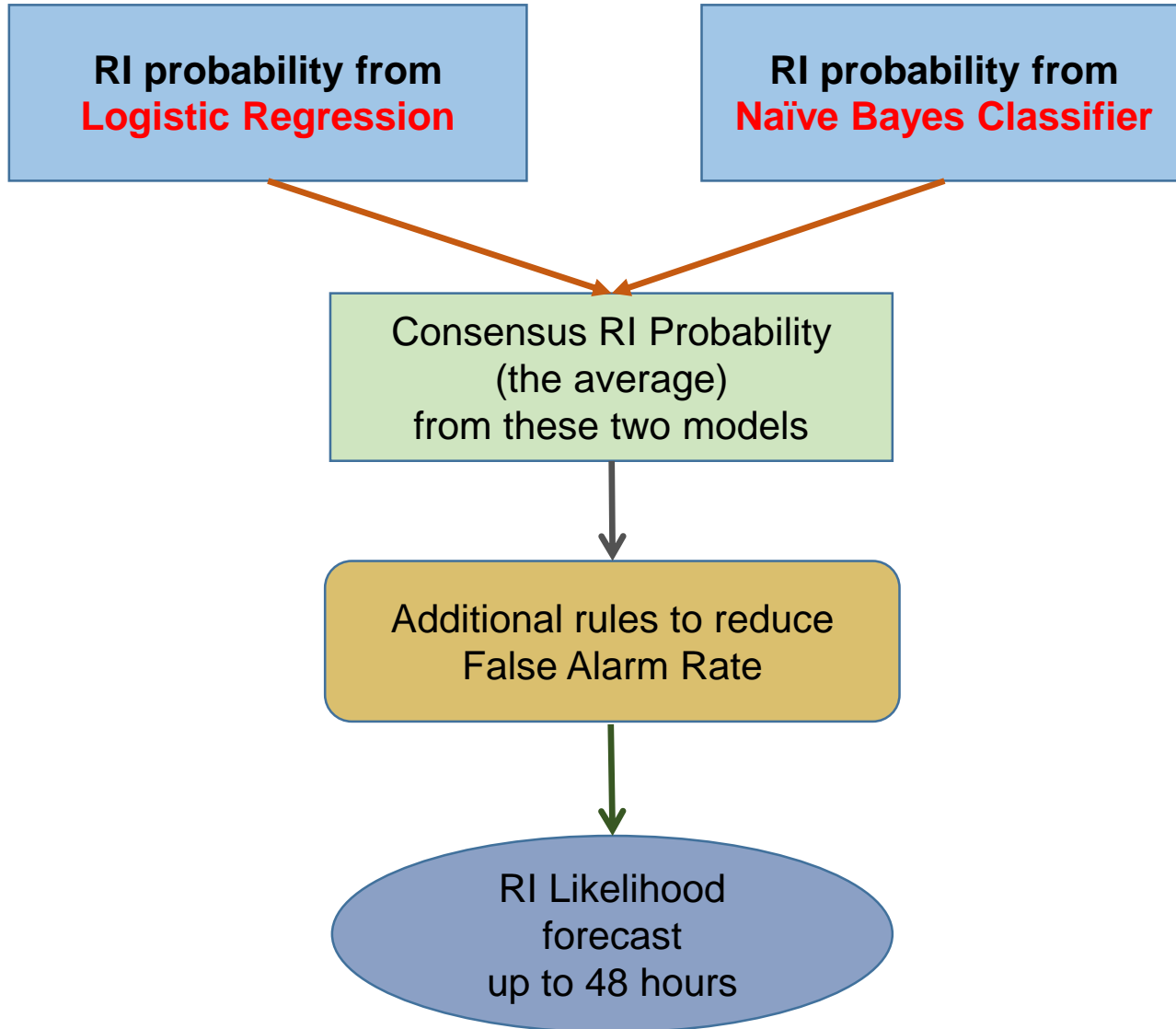
# Defining TC Rapid Intensification

- Rapid Intensification (RI) is commonly defined by the 95<sup>th</sup> percentile of intensity change (e.g. Kaplan et al. 2010), e.g. RI over the Atlantic and ENP basins would be +30 kt / 24 hrs (1-min average)
- After converting to the WMO 10-min average, RI definition in the western North Pacific and the South China Sea adopt in the study will be :

| Hours    | WNP     | Atlantic |
|----------|---------|----------|
| 12 hours | + 15 kt | + 20 kt  |
| 24 hours | + 25 kt | + 30 kt  |
| 36 hours | + 40 kt | + 45 kt  |
| 48 hours | + 50 kt | + 55 kt  |

(Reference : J. Kaplan, M. DeMaria, and J. A. Knaff, 2010: A Revised Tropical Cyclone Rapid Intensification Index for the Atlantic and Eastern North Pacific Basins. *Wea. Forecasting*, **25**, 220-241. )

# Framework of TINT-RI



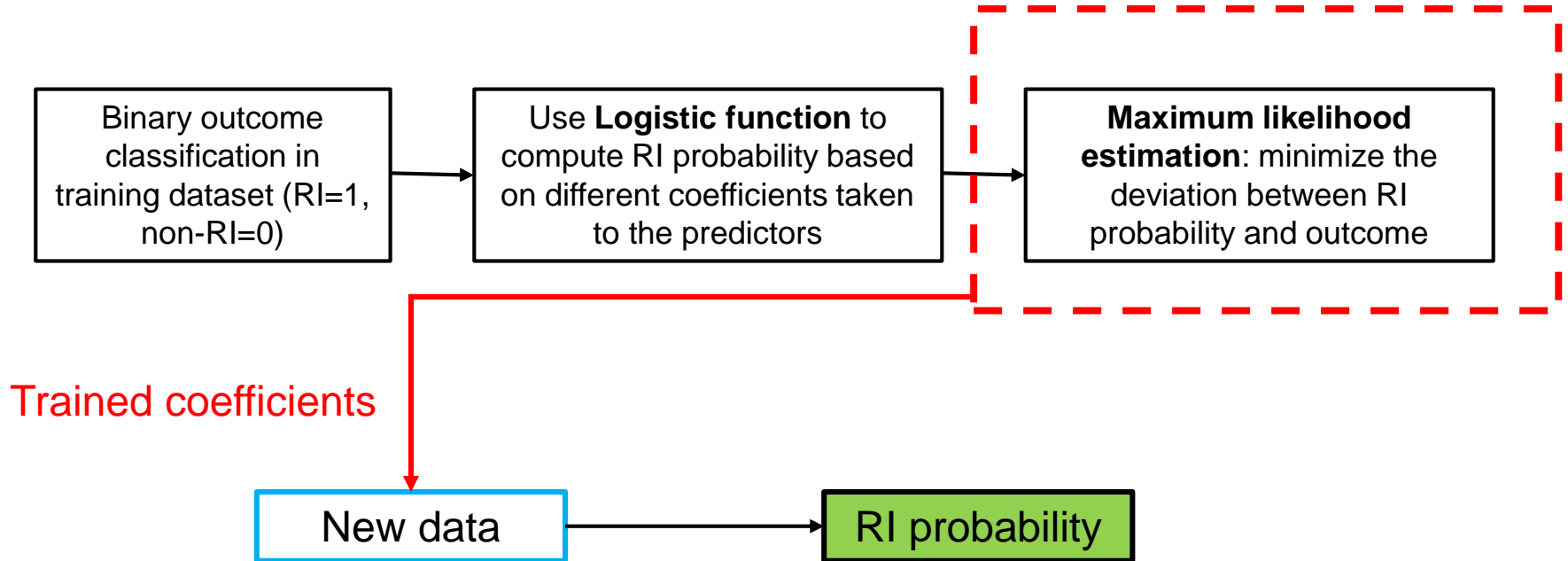


# Identified predictors

- Incorporate atmospheric, oceanic factors and TC characteristics
- Performance optimized
- Correlation between predictors minimized

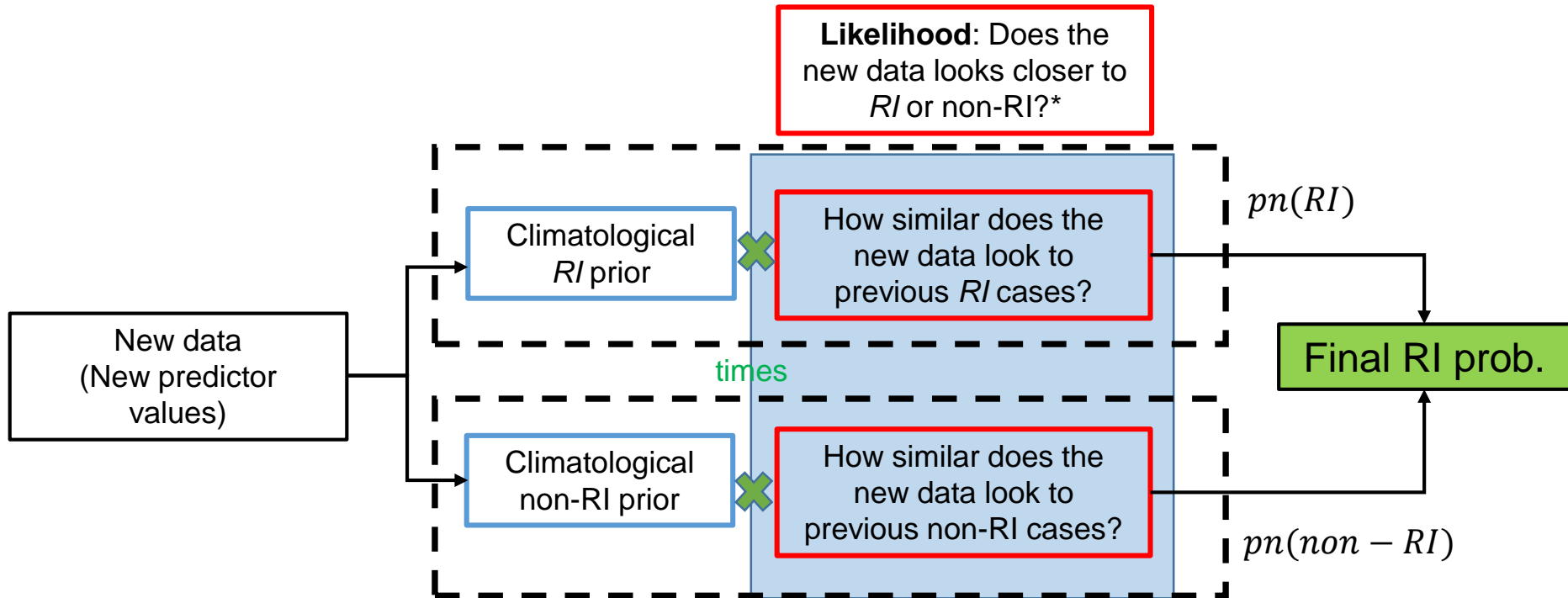
| Category  | Predictors (Total: 6 predictors)  |
|---|---|
| Ocean   | Tropical Cyclone Heat Potential (TCHP) nearest to the TC  |
| Atmosphere<br>(from ECMWF ERA-Interim reanalysis) | 200hPa divergence averaged over 9° radius<br>300-500hPa RH averaged from 2° to 7° radius<br>200-850hPa Space Mean Vertical Wind Shear (VWS) averaged over 5° radius |
| TC characteristics                                | Persistence (previous 12-hour intensity change)<br>Current Intensity (Actual analysis)  |

# Logistic Regression



# Naïve Bayes Classifier

The heart of Naïve Bayes Classification: It takes BOTH sides(RI and non-RI) into account AT THE SAME TIME

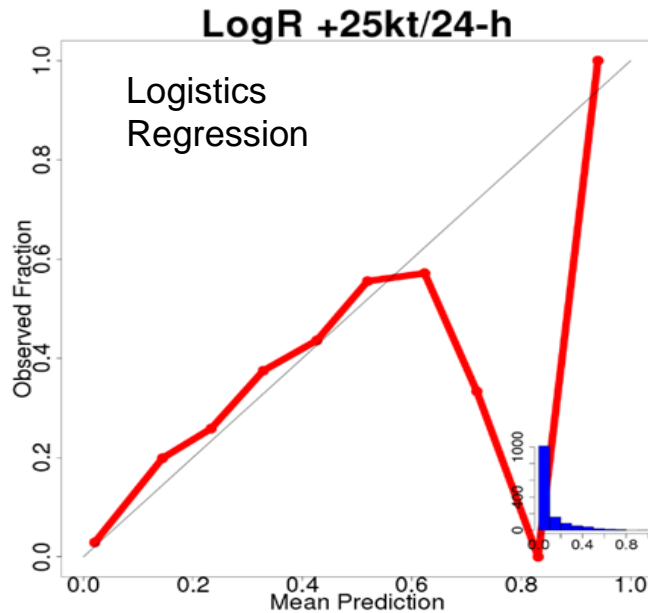


$$RI \text{ probability} = \text{posterior} = \frac{pn(RI)}{\text{evidence}} = \frac{pn(RI)}{pn(RI) + pn(non - RI)}$$

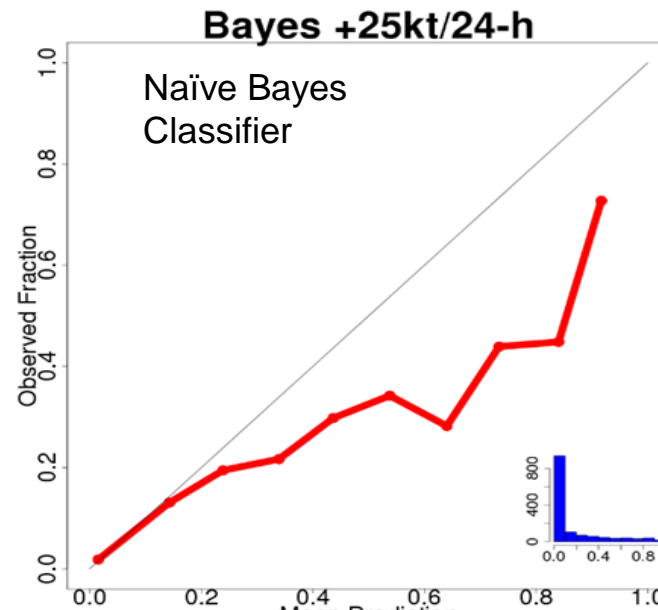
\*Assume previous predictors follow Normal Distribution

# Logistics Regression vs Naïve Bayes Classifier

Reliability diagrams of two models based on training data, with diagonal line being the perfect forecast



Logistics Regression provide reliable forecast at lower probability but performs poorly when probability is high



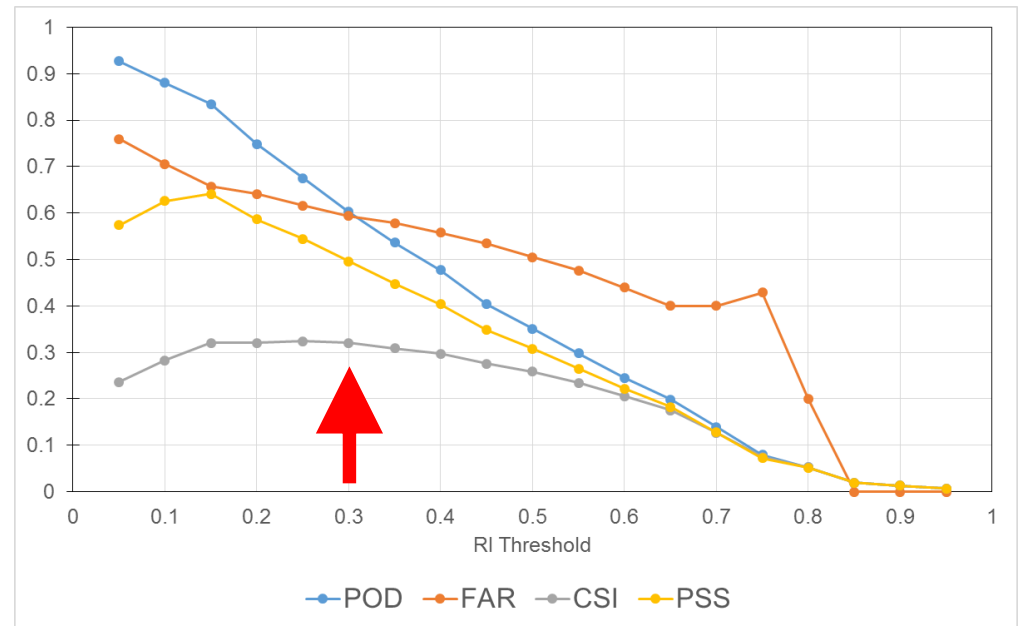
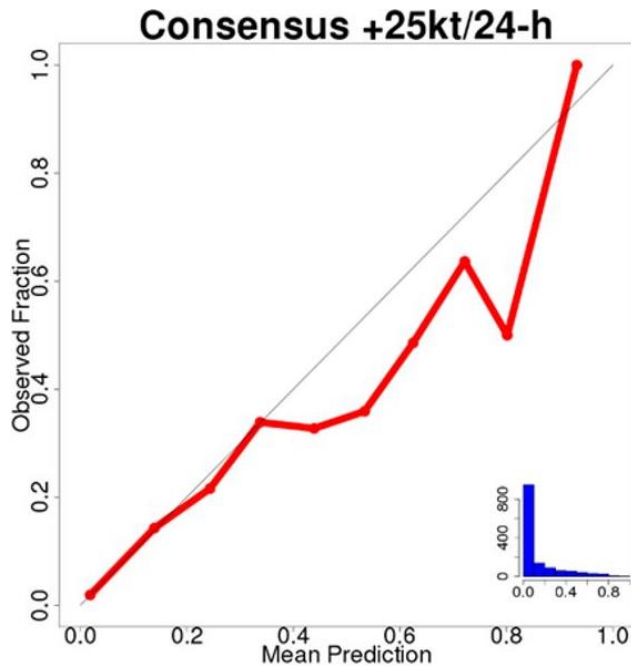
Naïve Bayes Classifier provides less reliable forecast compared with logistic regression at lower probability, but it is more reliable model for higher probabilities.

# Consensus RI probability

- To optimize the performance, consider consensus RI probability ( $P_{\text{con}}$ )

$$P_{\text{con}} = \frac{1}{2}(P_{\text{LogR}} + P_{\text{Bayes}})$$

- RI Threshold chosen as 0.3 where the CSI is about maximum



Training data from 2009 to 2015



## Additional rules to lower FAR

As the false alarm rate (FAR) of the consensus probability is still rather high, the following two additional rules are identified and adopted to further reduce the FAR

- (1) TINT intensity gain rule
- (2) Change of Vertical Wind Shear (VWS) rule

## Rule 1 - TINT intensity gain rule

- Made reference to the intensity forecast from the statistical-dynamical TC intensity forecast model (TINT)
- If TINT only suggests slight intensity gain (below pre-defined thresholds), **RI forecast is forced to Negative irrespective of RI probability**
- Thresholds of intensity change (with respect to initial intensity) :  
 $\leq +5\text{kt}/12\text{-h}$ ,  $\leq +10\text{kt}/24\text{-h}$ ,  $\leq +15\text{kt}/36\text{-h}$ ,  $\leq +20\text{kt}/48\text{-h}$

## Example of TINT Intensity Gain Rule -

+10kt/24-h threshold is not met, but RI Forecast is Positive → RI forecast is set to negative although RI probability is high

|  | +12-h               | +24-h   | +36-h                   | +48-h                   |
|--|---------------------|---|-------------------------|-------------------------|
| Actual Intensity change w.r.t. initial (knot)        | +5                  | +5  | +0                      | +0                      |
| TINT forecast intensity change w.r.t. initial (knot) | +9                  | +6  | -13                     | -19                     |
| RI probability                                       | 83.9% (False Alarm) | 73.8% (False Alarm reduced to correct negative) | 9.3% (Correct negative) | 4.1% (Correct negative) |

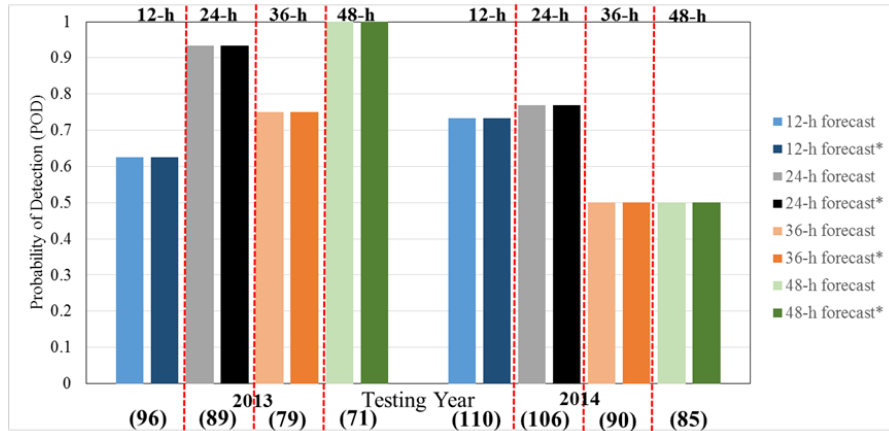
## Rule 2 - Change of Vertical Wind Shear (VWS) Rule

- Made reference to previous 12-h change of model Vertical Wind Shear (VWS)
- If VWS increased too much → Not favorable to RI
- VMS thresholds extracted from RI range in training dataset
- **RI is forced to Negative** if VMS change exceeded the corresponding predefined threshold

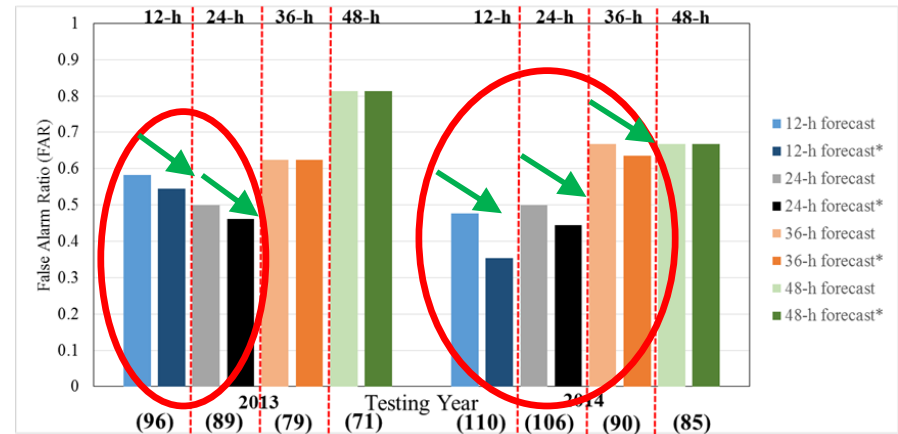
| VMS Threshold | +12-h       | +24-h       | +36-h       | +48-h       |
|---------------|-------------|-------------|-------------|-------------|
| (m/s)         | $\geq +4.2$ | $\geq +4.0$ | $\geq +3.9$ | $\geq +3.9$ |

# Improvement in performance with additional rules

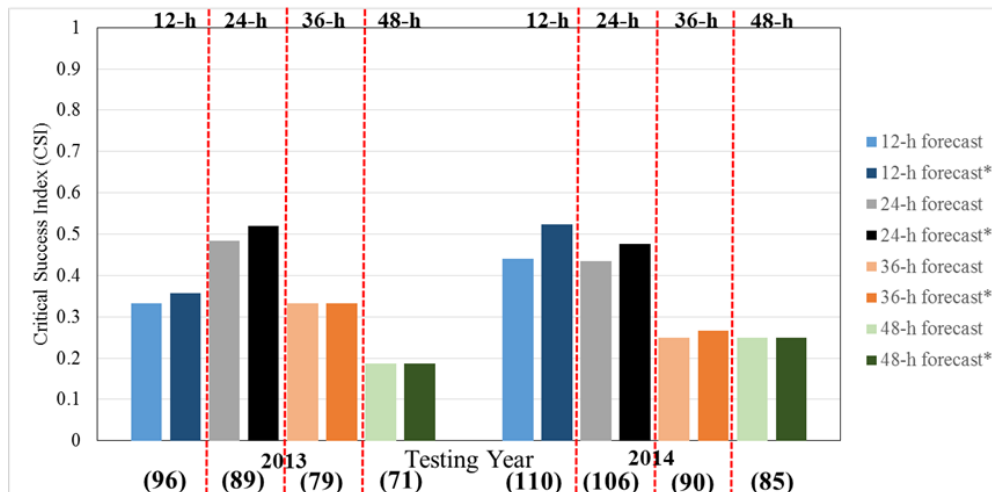
## No change in POD



## Reduced FAR



## Improved CSI



\* represents performance after implementing the two rules



# Performance in different probability intervals

| Prob. Range                        | False Alarm Ratio |
|------------------------------------|-------------------|
| 30% - 40%                          | 0.58              |
| 40% - 50%                          | 0.74              |
| 50% - 60% <i>Likely group</i>      | 0.53              |
| 60% - 70%                          | 0.57              |
| 70% - 80%                          | 0.44              |
| 80% - 90% <i>Very likely group</i> | 0.25              |
| 90% - 100%                         | 0                 |

*RI Forecast is Positive*

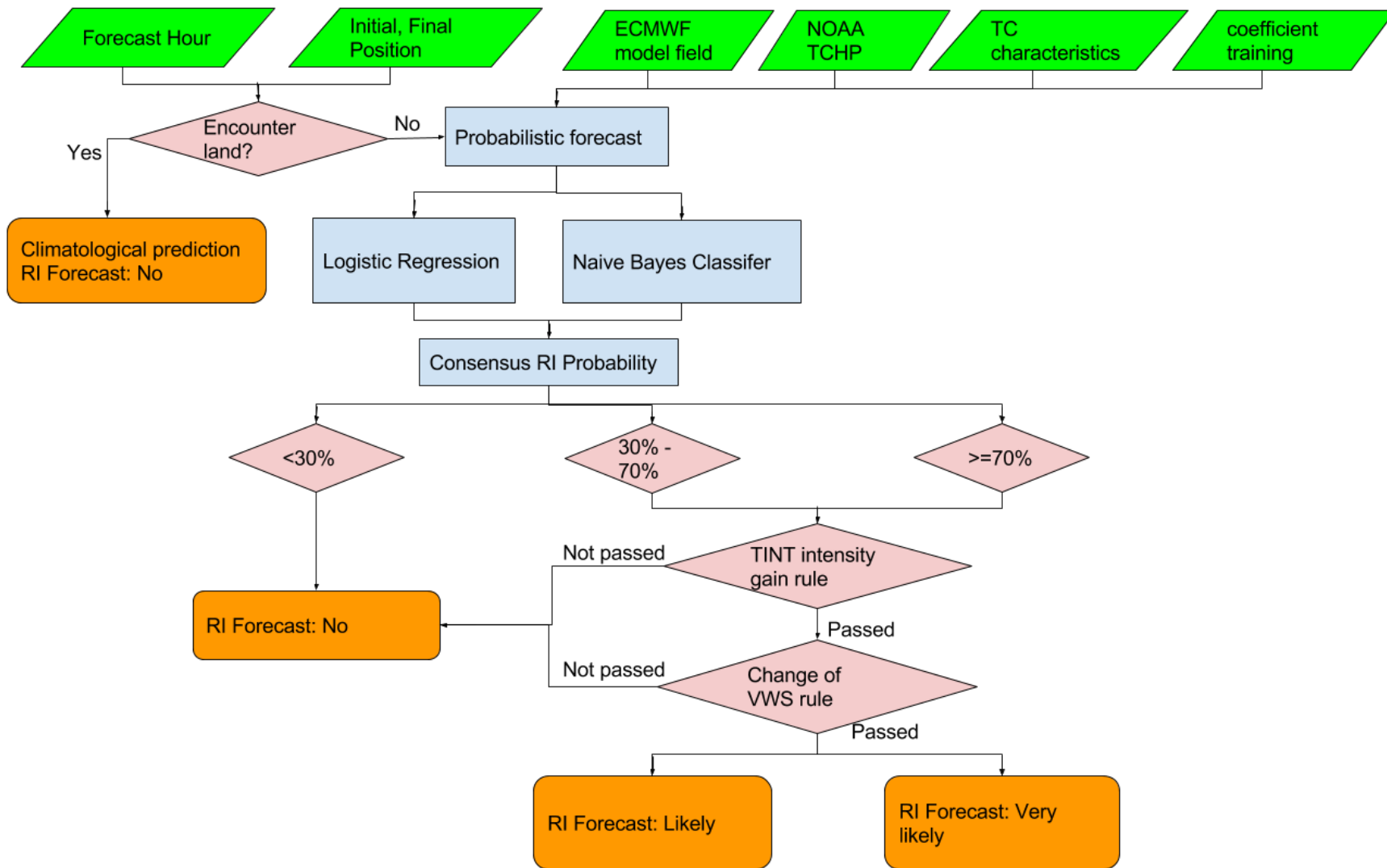
*Decreasing FAR*

FAR is reduced for higher RI probability --> More confidence of RI forecast!

Divide RI (prob.  $\geq 30\%$ ) into two classes for forecasters' reference:

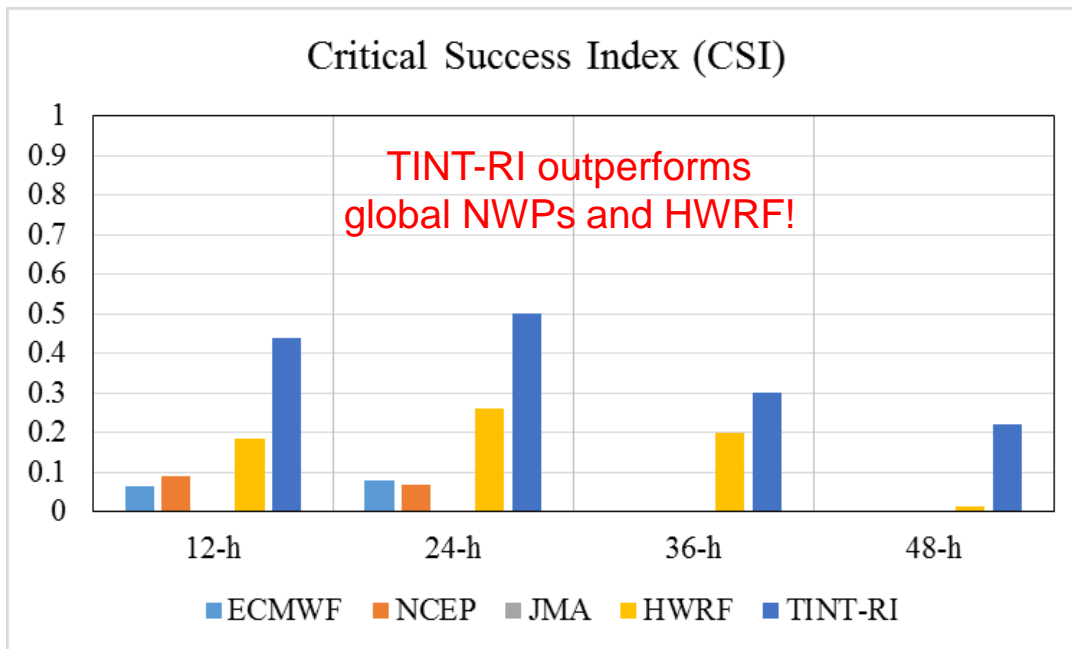
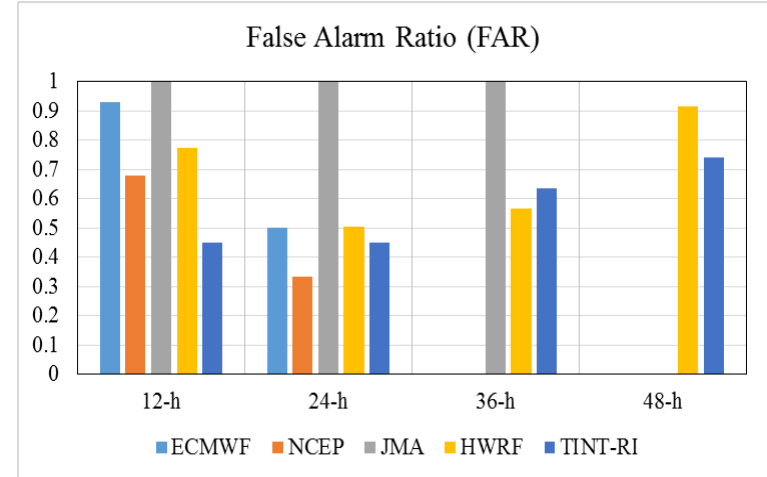
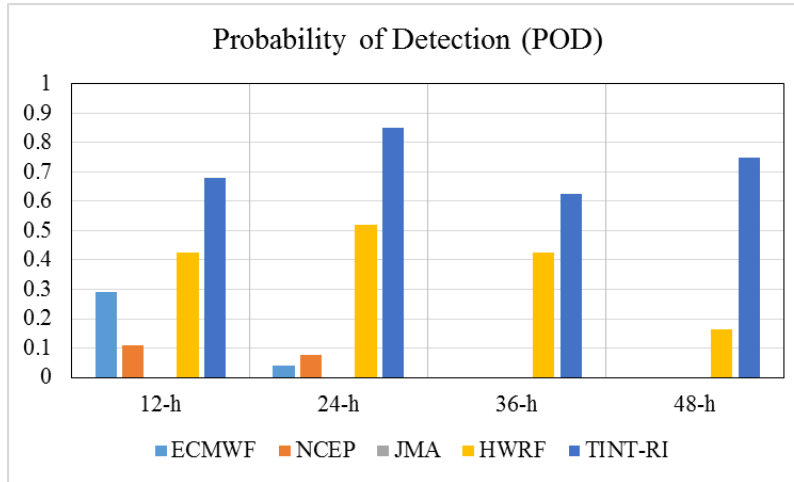
- **Very likely** (for prob.  $\geq 70\%$ )
- **Likely** (for  $30\% \leq \text{prob.} < 70\%$ )

# Overall Flow Chart of TINT-RI Operation



# Cross validation verification of 2013 and 2014

Apart HWRF, other three NWP models have low skill in RI prediction

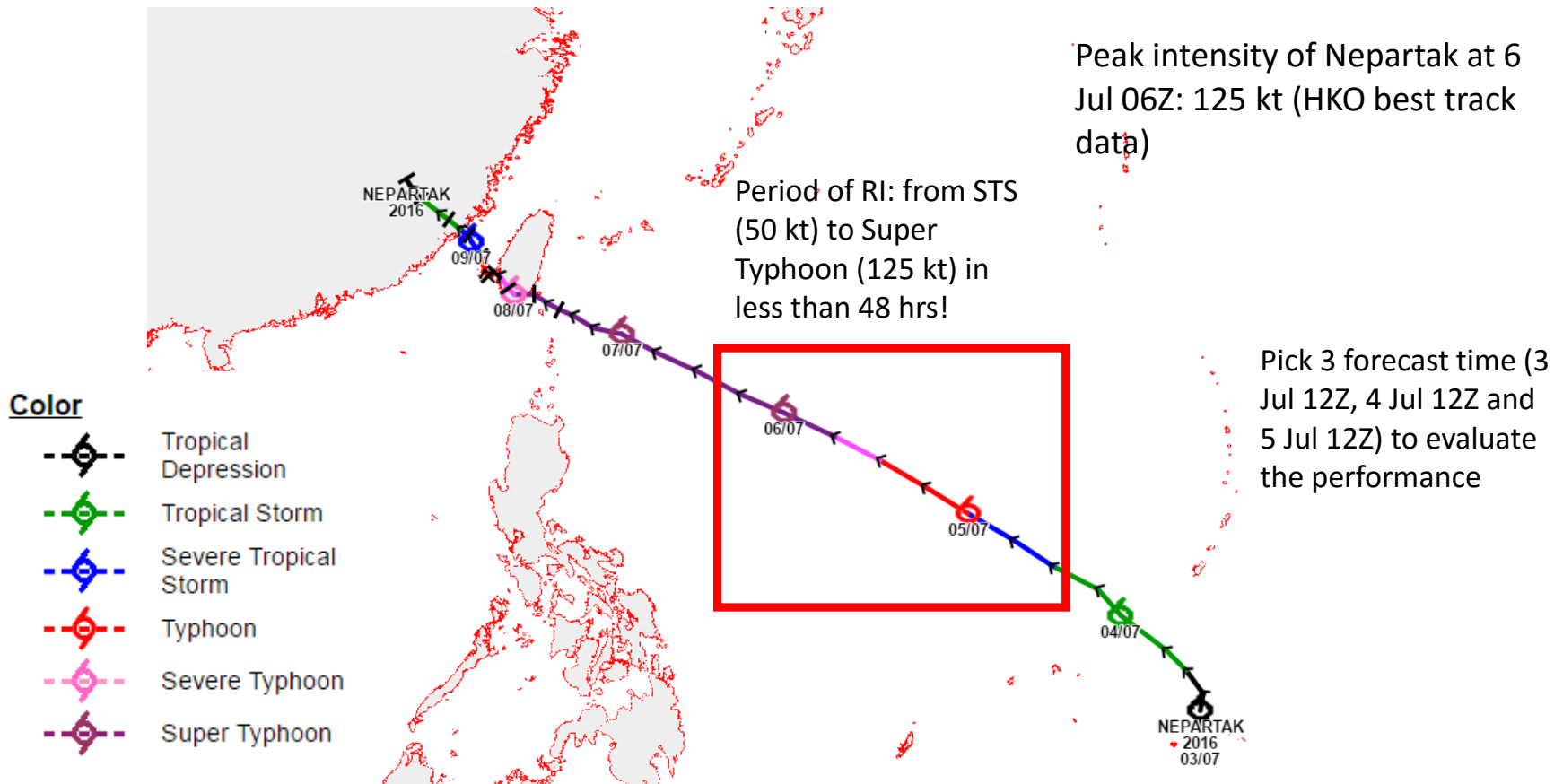


**CSI of TINT-RI ranged from 0.22 to 0.5**

For global NWP models and HWRF:

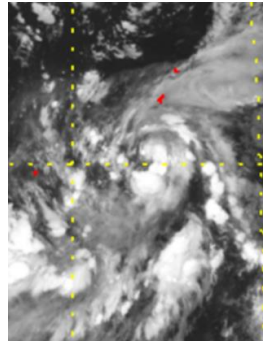
- Forecasts from DMOs are converted into a binary RI forecast (i.e. RI forecast is positive if the forecasted intensity change exceeded the corresponding RI definition)

# Case Study: Super Typhoon Nepartak (1601)



# Forecast for Nepartak at 3/7/2016 12Z

## T+0: 35 kt (TS)



| Model   | +12-h               | +24-h                    | +36-h                    | +48-h                    |
|---------|---------------------|--------------------------|--------------------------|--------------------------|
| ECMWF   | +6                  | +17                      | +16                      | +19                      |
| NCEP    | +17                 | +22                      | +21                      | +35                      |
| JMA     | +9                  | +20                      | +25                      | +43                      |
| HWRP    | +33                 | +38                      | +33                      | +48                      |
| TINT    | +13                 | +25                      | +36                      | +44                      |
| TINT-RI | Likely( $\geq+15$ ) | Very Likely( $\geq+30$ ) | Very Likely( $\geq+40$ ) | Very Likely( $\geq+50$ ) |
| Actual  | +5                  | +15                      | +35                      | +60                      |

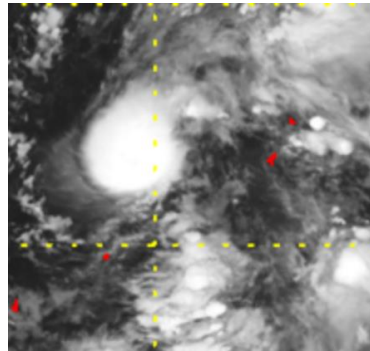
|       |
|-------|
| False |
| Hit   |
| Miss  |

Early false alarm for TINT-RI, but able to hit RI in T+48 hrs



# Forecast for Nepartak at 4/7/2016 12Z

## T+0: 50 kt (STS)



| Model   | +12-h               | +24-h                    | +36-h               | +48-h               |
|---------|---------------------|--------------------------|---------------------|---------------------|
| ECMWF   | -2                  | +6                       | +25                 | +17                 |
| NCEP    | +4                  | -5                       | -3                  | +11                 |
| JMA     | -8                  | +7                       | +13                 | +24                 |
| HWRF    | +21                 | +14                      | +20                 | +44                 |
| TINT    | +10                 | +20                      | +30                 | +40                 |
| TINT-RI | Likely( $\geq+15$ ) | Very Likely( $\geq+30$ ) | Likely( $\geq+40$ ) | Likely( $\geq+50$ ) |
| Actual  | +20                 | +45                      | +70                 | +75                 |

False

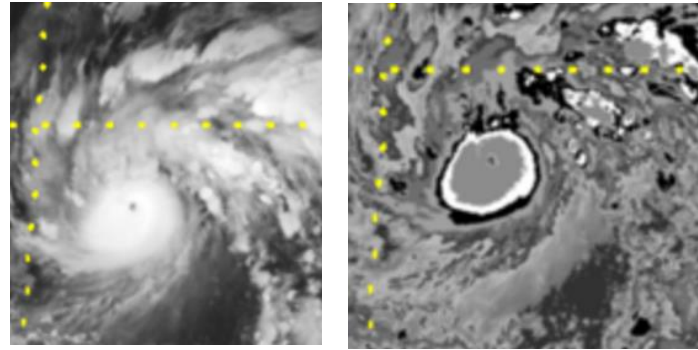
Hit

Miss

TINT-RI gives correct positive for all time range while DMOs from major global NWP's cannot capture the initiation of RI!

# Forecast for Nepartak at 5/7/2016 12Z

## T+0: 85 kt (ST)



| Model   | +12-h                    | +24-h               | +36-h | +48-h |
|---------|--------------------------|---------------------|-------|-------|
| ECMWF   | +2                       | +12                 | +8    | +24   |
| NCEP    | -17                      | -16                 | +0    | -8    |
| JMA     | +16                      | +27                 | +38   | +40   |
| HWRF    | +30                      | +14                 | +26   | +23   |
| TINT    | +12                      | +17                 | +13   | +9    |
| TINT-RI | Very Likely( $\geq+15$ ) | Likely( $\geq+30$ ) | No    | No    |
| Actual  | +25                      | +30                 | +30   | +25   |

|       |
|-------|
| False |
| Hit   |
| Miss  |

This forecast is "Likely" originally, but changed to "No" due to TINT forecast is  $\leq+15$  kt/36-h (TINT intensity gain rule).

# Operational Display

TINT-RI forecast usually available approximately T+8 hours from the base time!

## TINT Rapid Intensification Module (TINT-RI)

2016 Meranti(HKID1615) 2016091200Z

### TINT-RI Forecast for Meranti at 2016091200Z

|   | T+12<br>1212Z      | T+24<br>1300Z | T+36<br>1312Z | T+48<br>1400Z |
|---|--------------------|---------------|---------------|---------------|
| RI Forecast   | <b>Very Likely</b> | <b>Likely</b> | <b>No</b>     | <b>No</b>     |
| Intensity gain from T+0<br>(T+0: 95 knots)                      | >=15knots          | >=30knots     | ---           | ---           |
| <a href="#">TINT intensity gain rule</a>                        | Passed             | Passed        | Not Passed    | Not Passed    |
| Change of VWS rule  | Passed             | Passed        | Passed        | Passed        |
| RI Probability  | 87.2%              | 64.4%         | 15.8%         | 6.2%          |
| Actual RI Occurrence<br>based on Best track<br>(Intensity gain) | Y(20knots)         | Y(30knots)    | N             | N             |

| Predictors (Click to show box plot) | Min.  | Median | Max.   | Current Value |
|-------------------------------------|-------|--------|--------|---------------|
| Persistence                         | -5    | 10     | 35     | 30            |
| 200hPa divergence( $10^{-6}$ )      | -3.15 | 5.54   | 16.24  | 4.62          |
| 500-300hPa avg. RH                  | 29.0  | 66.7   | 83.6   | 70.2          |
| 850-200hPa VWS                      | 14.5  | 5.2    | 0.2    | 2.6           |
| TCHP                                | 0     | 93.78  | 156.59 | 87.18         |
| Current Intensity                   | 25    | 55     | 115    | 95            |

Raw Data

Forecast reasoning  
TINT rule & VWS rule:  
**Passed** (RI is possible) / **Not Passed**  
RI Probability

RI Forecast  
**Red:** Very Likely  
**Yellow:** Likely  
**Green:** No

Suggested intensity gain from T+0

Actual RI Occurrence for forecasters' reference

Predictors' values

# Preliminary verification of the TINT-RI performance in 2016 (based on HKO provisional best track dataset)

**CSI of 2016 range from around 0.34 to 0.48  
comparable to the testing year in 2013 and 2014!**

|                  | +12-h | +24-h | +36-h | +48-h |
|------------------|-------|-------|-------|-------|
| No. of forecast  | 146   | 129   | 115   | 107   |
| Hit              | 15    | 19    | 10    | 11    |
| Miss             | 9     | 4     | 5     | 4     |
| False Alarm      | 17    | 19    | 14    | 8     |
| Correct Negative | 94    | 71    | 69    | 59    |
| POD              | 0.63  | 0.83  | 0.67  | 0.73  |
| FAR              | 0.53  | 0.5   | 0.58  | 0.42  |
| CSI              | 0.37  | 0.45  | 0.34  | 0.48  |

# Future Work and Challenges

- Very low RI probability in South China Sea comparing with the western North Pacific, leading to relatively low skill of TINT-RI in the South China Sea.
- Further study to refine the algorithm with a view to improving the skills of prediction, in particular over the South China Sea (e.g. by incorporating predictors from satellite observations and other NWP model products as well as identifying a new set of RI definitions in South China Sea based on the climate characteristics in the region).



# Thank you!



# Logistic Regression

- Coefficients of the logit (L) fitted by **maximum likelihood estimation**

$$L = \beta_0 + \beta_1 x_1 + \dots + \beta_6 x_6$$

- RI probability is computed by

$$P = \frac{1}{1 + e^{-L}}$$

# Naïve Bayes Classifier

- **Naïve**: assume different predictors are not correlated
- **Bayes**: make use of Bayes theorem: 
$$P(A | B) = \frac{P(B | A)P(A)}{P(B)}$$
- All predictors are assumed to follow Normal distribution
- Likelihood of RI [ $pn(RI)$ ] =  $P(RI)$  x probability mass function of predictors using RI mean and SD
- Likelihood of non-RI [ $pn(non-RI)$ ] =  $P(non-RI)$  x probability mass function of predictors using non-RI mean and SD

# The Naïve Bayes “RI Probability”

$$RI \text{ probability} = \text{posterior} = \frac{pn(RI)}{\text{evidence}} = \frac{pn(RI)}{pn(RI) + pn(\text{non-RI})}$$

- It follows that RI probability would be very high when
- (i)  $pn(\text{non-RI}) \rightarrow 0$  (when the data completely does not look similar to non-RI)
- (ii)  $pn(RI) \gg pn(\text{non-RI})$  (the data may look a bit close to non-RI, but it looks a lot similar to RI than non-RI)